

Essential norms of weighted composition operators between Hardy spaces H^p and H^q for $1 \leq p, q \leq \infty$

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Abstract

We complete the different cases remaining in the estimation of the essential norm of a weighted composition operator acting between the Hardy spaces H^p and H^q for $1 \leq p, q \leq \infty$. In particular we give some estimates for the cases $1 = p \leq q \leq \infty$ and $1 \leq q < p \leq \infty$.

1 Introduction

Let $\mathbb{D} = \{z \in \mathbb{C} \mid |z| < 1\}$ denote the open unit disk in the complex plane. Given two analytic functions u and φ defined on \mathbb{D} such that $\varphi(\mathbb{D}) \subset \mathbb{D}$, one can define the *weighted composition operator* uC_φ that maps any analytic function f defined on \mathbb{D} into the function $uC_\varphi(f) = u(f \circ \varphi)$. In [10], de Leeuw showed that the isometries in the Hardy space H^1 are weighted composition operators, while Forelli [8] obtained this result for the Hardy space H^p when $1 < p < \infty$, $p \neq 2$. Another example is the study of composition operators on the half-plane. A composition operator in a Hardy space of the half-plane is bounded if and only if a certain weighted composition operator is bounded on the Hardy space of the unit disk (see [13] and [14]).

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When $u \equiv 1$, we just have the composition operator C_φ . The continuity of these operators on the Hardy space H^p is ensured by the Littlewood's subordination principle, which says that $C_\varphi(f)$ belongs to H^p whenever $f \in H^p$ (see [4], Corollary 2.24). As a consequence, the condition $u \in H^\infty$ suffices for the boundedness of uC_φ on H^p . Considering the image of the constant functions, a necessary condition is that u belongs to H^p . Nevertheless a weighted composition operator needs not to be continuous on H^p , and it is easy to find examples where $uC_\varphi(H^p) \not\subseteq H^p$ (see Lemma 2.1 of [3] for instance).

In this note we deal with weighted composition operators between H^p and H^q for $1 \leq p, q \leq \infty$. Boundedness and compactness are characterized in [3] for $1 \leq p \leq q < \infty$ by means of Carleson measures, while essential norms of weighted composition operators are estimated in [5] for $1 < p \leq q < \infty$ by means of an integral operator. For the case $1 \leq q < p < \infty$, boundedness and compactness of uC_φ are studied in [5], and Gorkin and MacCluer in [9] gave an estimate of the essential norm of a composition operator acting between H^p and H^q .

The aim of this paper is to complete the different cases remaining in the estimation of the essential norm of a weighted composition operator. In section 2 and 3, we give an estimate of the essential norm of uC_φ acting between H^p and H^q when $p = 1$ and $1 \leq q < \infty$ and when $1 \leq p < \infty$ and $q = \infty$. Sections 4 and 5 are devoted to the case where $\infty \geq p > q \geq 1$.

Let $\overline{\mathbb{D}}$ be the closure of the unit disk \mathbb{D} and $\mathbb{T} = \partial\mathbb{D}$ its boundary. We denote by $dm = dt/2\pi$ the normalised Haar measure on \mathbb{T} . If A is a Borel subset of \mathbb{T} , the notation $m(A)$ as well as $|A|$ will design the Haar measure of A . For $1 \leq p < \infty$, the Hardy space $H^p(\mathbb{D})$ is the space of analytic functions $f : \mathbb{D} \rightarrow \mathbb{C}$ satisfying the following condition

$$\|f\|_p = \sup_{0 < r < 1} \left(\int_{\mathbb{T}} |f(r\zeta)|^p dm(\zeta) \right)^{1/p} < \infty.$$

Endowed with this norm, $H^p(\mathbb{D})$ is a Banach space. The space $H^\infty(\mathbb{D})$ is consisting of every bounded analytic function on \mathbb{D} , and its norm is given by the supremum norm on \mathbb{D} .

We recall that any function $f \in H^p(\mathbb{D})$ can be extended on \mathbb{T} to a function f^* by the following formula: $f^*(e^{i\theta}) = \lim_{r \nearrow 1} f(re^{i\theta})$. The limit exists almost everywhere by Fatou's theorem, and $f^* \in L^p(\mathbb{T})$. Moreover, $f \mapsto f^*$ is an into isometry from $H^p(\mathbb{D})$ to $L^p(\mathbb{T})$ whose image, denoted by $H^p(\mathbb{T})$ is the closure (weak-star closure for $p = \infty$) of the set of polynomials in $L^p(\mathbb{T})$. So

we can identify $H^p(\mathbb{D})$ and $H^p(\mathbb{T})$, and we will use the notation H^p for both of these spaces. More on Hardy spaces can be found in [11] for instance.

The *essential norm* of an operator $T : X \rightarrow Y$, denoted $\|T\|_e$, is given by

$$\|T\|_e = \inf\{\|T - K\| \mid K \text{ is a compact operator from } X \text{ to } Y\}.$$

Observe that $\|T\|_e \leq \|T\|$, and $\|T\|_e$ is the norm of T seen as an element of the space $B(X, Y)/K(X, Y)$ where $B(X, Y)$ is the space of all bounded operators from X to Y and $K(X, Y)$ is the subspace consisting of all compact operators.

Notation: we will write $a \approx b$ whenever there exists two positive universal constants c and C such that $cb \leq a \leq Cb$. In the sequel, u will be a *non-zero analytic function* on \mathbb{D} and φ will be a *non-constant analytic function* defined on \mathbb{D} satisfying $\varphi(\mathbb{D}) \subset \mathbb{D}$.

2 $uC_\varphi \in B(H^1, H^q)$ for $1 \leq q < \infty$

Let us first start with a characterization of the boundedness of uC_φ acting between H^p and H^q :

Theorem 2.1 (see [5, Theorem 4]). *Let u be an analytic function on \mathbb{D} and φ an analytic self-map of \mathbb{D} . Let $0 < p \leq q < \infty$. Then the weighted composition operator uC_φ is bounded from H^p to H^q if and only if*

$$\sup_{a \in \mathbb{D}} \int_{\mathbb{T}} |u(\zeta)|^q \left(\frac{1 - |a|^2}{|1 - \bar{a}\varphi(\zeta)|^2} \right)^{q/p} dm(\zeta) < \infty.$$

As a consequence uC_φ is a bounded operator as soon as uC_φ is uniformly bounded on the set $\{k_a^{1/p} \mid a \in \mathbb{D}\}$ where k_a is the normalized kernel defined by $k_a(z) = (1 - |a|^2)/(1 - \bar{a}z)^2$, $a \in \mathbb{D}$. Note that $k_a^{1/p} \in H^p$ and $\|k_a^{1/p}\|_p = 1$. These kernels play a crucial role in the estimation of the essential norm of a weighted composition operator:

Theorem 2.2 (see [5, Theorem 5]). *Let u be an analytic function on \mathbb{D} and φ an analytic self-map of \mathbb{D} . Assume that the weighted composition operator uC_φ is bounded from H^p to H^q with $1 < p \leq q < \infty$. Then*

$$\|uC_\varphi\|_e \approx \limsup_{|a| \rightarrow 1^-} \left(\int_{\mathbb{T}} |u(\zeta)|^q \left(\frac{1 - |a|^2}{|1 - \bar{a}\varphi(\zeta)|^2} \right)^{q/p} dm(\zeta) \right)^{\frac{1}{q}}.$$

The aim of this section is to give the corresponding estimate for the case $p = 1$. We shall prove that the previous theorem is still valid for $p = 1$:

Theorem 2.3. *Let u be an analytic function on \mathbb{D} and φ an analytic self-map of \mathbb{D} . Suppose that the weighted composition operator uC_φ is bounded from H^1 to H^q for a certain $1 \leq q < \infty$. Then we have*

$$\|uC_\varphi\|_e \approx \limsup_{|a| \rightarrow 1^-} \left(\int_{\mathbb{T}} |u(\zeta)|^q \left(\frac{1 - |a|^2}{|1 - \bar{a}\varphi(\zeta)|^2} \right)^q dm(\zeta) \right)^{\frac{1}{q}}.$$

Let us start with the upper estimate:

Proposition 2.4. *Let $uC_\varphi \in B(H^1, H^q)$ with $1 \leq q < \infty$. Then there exists a positive constant γ such that*

$$\|uC_\varphi\|_e \leq \gamma \limsup_{|a| \rightarrow 1^-} \left(\int_{\mathbb{T}} |u(\zeta)|^q \left(\frac{1 - |a|^2}{|1 - \bar{a}\varphi(\zeta)|^2} \right)^q dm(\zeta) \right)^{\frac{1}{q}}.$$

The main tool of the proof is the use of Carleson measures. Assume that μ is a finite positive Borel measure on $\overline{\mathbb{D}}$ and let $1 \leq p, q < \infty$. We say that μ is a (p, q) -Carleson measure if the embedding $J_\mu : f \in H^p \mapsto f \in L^q(\mu)$ is well defined. In this case, the closed graph theorem ensures that J_μ is continuous. In other words, μ is a (p, q) -Carleson measure if there exists a constant $\gamma_1 > 0$ such that for every $f \in H^p$,

$$(2.1) \quad \int_{\overline{\mathbb{D}}} |f(z)|^q d\mu(z) \leq \gamma_1 \|f\|_p^q.$$

Let I be an arc in \mathbb{T} . By $S(I)$ we denote the Carleson window given by

$$S(I) = \{z \in \mathbb{D} \mid 1 - |I| \leq |z| < 1, z/|z| \in I\}.$$

Let us denote by $\mu_{\mathbb{D}}$ and $\mu_{\mathbb{T}}$ the restrictions of μ to \mathbb{D} and \mathbb{T} respectively. The following result is a version of a theorem of Duren (see [7], p.163) for measures on $\overline{\mathbb{D}}$:

Theorem 2.5 (see [1, Theorem 2.5]). *Let $1 \leq p < q < \infty$. A finite positive Borel measure μ on $\overline{\mathbb{D}}$ is a (p, q) -Carleson measure if and only if $\mu_{\mathbb{T}} = 0$ and there exists a constant $\gamma_2 > 0$ such that*

$$(2.2) \quad \mu_{\mathbb{D}}(S(I)) \leq \gamma_2 |I|^{q/p} \quad \text{for any arc } I \subset \mathbb{T}.$$

Notice that the best constants γ_1 and γ_2 in (2.1) and (2.2) are comparable, meaning that there is a positive constant β independent of the measure μ such that $(1/\beta)\gamma_2 \leq \gamma_1 \leq \beta\gamma_2$.

The notion of Carleson measure was introduced by Carleson in [2] as a part of his work on the corona problem. He gave a characterization of measures μ on \mathbb{D} such that H^p embeds continuously in $L^p(\mu)$.

Examples of such Carleson measures are provided by composition operators. Let $\varphi : \mathbb{D} \rightarrow \mathbb{D}$ be an analytic map and let $1 \leq p, q < \infty$. The boundedness of the composition operator $C_\varphi : f \mapsto f \circ \varphi$ between H^p and H^q can be rephrased in terms of (p, q) -Carleson measures. Indeed, denote by m_φ the *pullback measure* of m by φ , which is the image of the Haar measure m of \mathbb{T} under the map φ^* , defined by

$$m_\varphi(A) = m\left(\varphi^{*-1}(A)\right)$$

for every Borel subset A of $\overline{\mathbb{D}}$. Then

$$\|C_\varphi(f)\|_q^q = \int_{\mathbb{T}} |f \circ \varphi|^q dm = \int_{\overline{\mathbb{D}}} |f|^q dm_\varphi = \|J_{m_\varphi}(f)\|_q^q$$

for all $f \in H^p$. Thus C_φ maps H^p boundedly into H^q if and only if m_φ is a (p, q) -Carleson measure.

In the sequel we will denote by $r\mathbb{D}$ the open disk of radius r , in other words $r\mathbb{D} = \{z \in \mathbb{D} \mid |z| < r\}$ for $0 < r < 1$. We will need the following lemma concerning (p, q) -Carleson measures:

Lemma 2.6. *Take $0 < r < 1$ and let μ be a finite positive Borel measure on $\overline{\mathbb{D}}$. Let*

$$N_r^* := \sup_{|a| \geq r} \int_{\overline{\mathbb{D}}} |k_a(w)|^{\frac{q}{p}} d\mu(w).$$

If μ is a (p, q) -Carleson measure for $1 \leq p \leq q < \infty$ then so is $\mu_r := \mu|_{\overline{\mathbb{D}} \setminus r\mathbb{D}}$. Moreover one can find an absolute constant $M > 0$ satisfying $\|\mu_r\| \leq MN_r^$*

where $\|\mu_r\| := \sup_{I \subset \mathbb{T}} \frac{\mu_r(S(I))}{|I|^{q/p}}$.

We omit the proof of Lemma 2.6 here, which is a slight modification of the proof of Lemma 1 and Lemma 2 in [5] using Theorem 2.5.

In the proof of the upper estimate of Theorem 2.2 in [5], the authors use a decomposition of the identity on H^p of the form $I = K_N + R_N$ where K_N is the partial sum operator defined by $K_N(\sum_{n=0}^{\infty} a_n z^n) = \sum_{n=0}^N a_n z^n$, and they use the fact that (K_N) is a sequence of compact operators that is uniformly bounded in $B(H^p)$ and that R_N converges pointwise to zero on

H^p . Nevertheless the sequence (K_N) is not uniformly bounded in $B(H^1)$. In fact, (K_N) is uniformly bounded in $B(H^p)$ if and only if the Riesz projection $P : L^p \rightarrow H^p$ is bounded [15, Theorem 2], which occurs if and only if $1 < p < \infty$. Therefore we need to use a different decomposition for the case $p = 1$. Since K_N is the convolution operator by the Dirichlet kernel on H^p , we shall consider the Fejér kernel F_N of order N . Let us define $K_N : H^1 \rightarrow H^1$ to be the convolution operator associated to F_N that maps $f \in H^1$ to $K_N f = F_N * f \in H^1$ and $R_N = I - K_N$. Then $\|K_N\| \leq 1$, K_N is compact and for every $f \in H^1$, $\|f - K_N f\|_1 \rightarrow 0$ following Fejér's theorem. If $f(z) = \sum_{n \geq 0} \hat{f}(n) z^n \in H^1$, then

$$K_N f(z) = \sum_{n=0}^{N-1} \left(1 - \frac{n}{N}\right) \hat{f}(n) z^n.$$

Lemma 2.7. *Let $1 \leq q < \infty$ and suppose that $uC_\varphi \in B(H^1, H^q)$. Then*

$$\|uC_\varphi\|_e \leq \liminf_N \|uC_\varphi R_N\|.$$

Proof.

$$\begin{aligned} \|uC_\varphi\|_e &= \|uC_\varphi K_N + uC_\varphi R_N\|_e \\ &= \|uC_\varphi R_N\|_e \quad \text{since } K_N \text{ is compact} \\ &\leq \|uC_\varphi R_N\| \end{aligned}$$

and the result follows taking the lower limit. \square

We will need the following lemma for an estimation of the remainder R_N :

Lemma 2.8. *Let $\varepsilon > 0$ and $0 < r < 1$. Then $\exists N_0 = N_0(r) \in \mathbb{N}$, $\forall N \geq N_0$,*

$$|R_N f(w)|^q < \varepsilon \|f\|_1^q,$$

for every $|w| < r$ and for every f in H^1 .

Proof. Let $K_w(z) = 1/(1 - \bar{w}z)$, $w \in \mathbb{D}$, $z \in \mathbb{D}$. K_w is a bounded analytic function on \mathbb{D} . It is easy to see that for every $f \in H^1$,

$$\langle R_N f, K_w \rangle = \langle f, R_N K_w \rangle$$

where $|w| < r$, $N \geq 1$ and

$$\langle f, g \rangle = \frac{1}{2\pi} \int_0^{2\pi} f(e^{i\theta}) \overline{g(e^{i\theta})} d\theta$$

for $f \in H^1$ and $g \in H^\infty$. Then we have $|R_N f(w)| = |\langle R_N f, K_w \rangle| = |\langle f, R_N K_w \rangle| \leq \|f\|_1 \|R_N K_w\|_\infty$. Take $|w| < r$ and choose $N_0 \in \mathbb{N}$ so that for every $N \geq N_0$ one has $r^N \leq \varepsilon^{1/q}(1-r)/2$ and $1/N \sum_{n=1}^{N-1} nr^n \leq (1/2)\varepsilon^{1/q}$. Since

$$R_N K_w(z) = R_N \left(\sum_{n=0}^{\infty} \bar{w}^n z^n \right) = \sum_{n=0}^{N-1} \frac{n}{N} \bar{w}^n z^n + \sum_{n=N}^{\infty} \bar{w}^n z^n,$$

one has

$$\|R_N K_w\|_\infty < \frac{1}{N} \sum_{n=0}^{N-1} nr^n + \sum_{n=N}^{\infty} r^n \leq \varepsilon^{1/q}.$$

Thus $|R_N f(w)|^q \leq \varepsilon \|f\|_1^q$ for every f in H^1 . \square

Proof of Proposition 2.4. Denote by μ the measure which is absolutely continuous with respect to m and whose density is $|u|^q$, and let $\mu_\varphi = \mu \circ \varphi^{-1}$ be the pullback measure of μ by φ . Fix $0 < r < 1$. For every $f \in H^1$, we have

$$\begin{aligned} \| (uC_\varphi R_N) f \|_q^q &= \int_{\mathbb{T}} |u(\zeta)|^q |((R_N f) \circ \varphi)(\zeta)|^q dm(\zeta) \\ &= \int_{\mathbb{T}} |((R_N f) \circ \varphi)(\zeta)|^q d\mu(\zeta) \\ &= \int_{\overline{\mathbb{D}}} |R_N f(w)|^q d\mu_\varphi(w) \\ &= \int_{\overline{\mathbb{D}} \setminus r\mathbb{D}} |R_N f(w)|^q d\mu_\varphi(w) + \int_{r\mathbb{D}} |R_N f(w)|^q d\mu_\varphi(w) \\ (2.3) \quad &= I_1(N, r, f) + I_2(N, r, f). \end{aligned}$$

Let us first show that $\lim_N \sup_{\|f\|_1=1} I_2(N, r, f) = 0$. For $\varepsilon > 0$, Lemma 2.8 gives us an integer $N_0(r)$ such that for every $N \geq N_0(r)$,

$$\begin{aligned} I_2(N, r, f) &= \int_{r\mathbb{D}} |R_N f(w)|^q d\mu_\varphi(w) \\ &\leq \varepsilon \|f\|_1^q \mu_\varphi(r\mathbb{D}) \\ &\leq \varepsilon \|f\|_1^q \mu_\varphi(\overline{\mathbb{D}}) \\ &\leq \varepsilon \|f\|_1^q \|u\|_q^q. \end{aligned}$$

So, r being fixed, we have $\lim_N \sup_{\|f\|_1=1} I_2(N, r, f) = 0$.

Now we need an estimate of $I_1(N, r, f)$. The continuity of $uC_\varphi : H^1 \rightarrow H^q$ ensures that μ_φ is a $(1, q)$ -Carleson measure, and therefore $\mu_{\varphi, r} := \mu_\varphi|_{\overline{\mathbb{D}} \setminus r\mathbb{D}}$

is also a $(1, q)$ -Carleson measure by using Lemma 2.6 for $p = 1$. It follows that

$$\begin{aligned} \int_{\mathbb{D} \setminus r\mathbb{D}} |R_N f(w)|^q \, d\mu_{\varphi, r}(w) &\leq \gamma_1 \|R_N f\|_1^q \\ &\leq \beta \|\mu_{\varphi, r}\| \|R_N f\|_1^q \\ &\leq 2^q \beta M N_r^* \|f\|_1^q \end{aligned}$$

using Lemma 2.6 and the fact that $\|R_N\| \leq 1 + \|K_N\| \leq 2$ for every $N \in \mathbb{N}$. We take the supremum over B_{H^1} and take the lower limit as N tends to infinity in (2.3) to obtain

$$\liminf_{N \rightarrow \infty} \|uC_\varphi R_N\|^q \leq 2^q \beta M N_r^*.$$

Now as r goes to 1 we have:

$$\begin{aligned} \lim_{r \rightarrow 1} N_r^* &= \limsup_{|a| \rightarrow 1^-} \int_{\mathbb{D}} |k_a(w)|^q \, d\mu_\varphi(w) \\ &= \limsup_{|a| \rightarrow 1^-} \int_{\mathbb{T}} |u(\zeta)|^q \left(\frac{1 - |a|^2}{|1 - \bar{a}\varphi(\zeta)|^2} \right)^q \, dm(\zeta) \end{aligned}$$

and we obtain the estimate announced using Lemma 2.7. \square

Now let us turn to the lower estimate in Theorem 2.2. Let $1 \leq q < \infty$. Consider F_N the Fejér kernel of order N , and define $K_N : H^q \rightarrow H^q$ the convolution operator associated to F_N and $R_N = I - K_N$. Then $(K_N)_N$ is a sequence of uniformly bounded compact operators in $B(H^q)$, and $\|R_N f\|_q \rightarrow 0$ for all $f \in H^q$.

Lemma 2.9. *There exists $0 < \gamma \leq 2$ such that whenever uC_φ is a bounded operator from H^1 to H^q with $1 \leq q < \infty$, one has*

$$\frac{1}{\gamma} \limsup_N \|R_N uC_\varphi\| \leq \|uC_\varphi\|_e.$$

Proof. Take $K \in B(H^1, H^q)$ a compact operator. Since (K_N) is uniformly bounded, one can find $\gamma > 0$ satisfying $\|R_N\| \leq 1 + \|K_N\| \leq \gamma$ for all $N > 0$, and we have:

$$\begin{aligned} \|uC_\varphi + K\| &\geq \frac{1}{\gamma} \|R_N(uC_\varphi + K)\| \\ &\geq \frac{1}{\gamma} \|R_N uC_\varphi\| - \frac{1}{\gamma} \|R_N K\|. \end{aligned}$$

Now use the fact that (R_N) goes pointwise to zero in H^q , and consequently (R_N) converges strongly to zero over the compact set $\overline{K(B_{H^1})}$ as N goes to infinity. It follows that $\|R_N K\| \xrightarrow{N} 0$, and

$$\|uC_\varphi + K\| \geq \frac{1}{\gamma} \limsup_N \|R_N uC_\varphi\|$$

for every compact operator $K : H^1 \rightarrow H^q$. \square

Proposition 2.10. *Let u be an analytic function on \mathbb{D} and φ an analytic self-map of \mathbb{D} . Assume that $uC_\varphi \in B(H^1, H^q)$ with $1 \leq q < \infty$. Then*

$$\|uC_\varphi\|_e \geq \frac{1}{\gamma} \limsup_{|a| \rightarrow 1^-} \left(\int_{\mathbb{T}} |u(\zeta)|^q \left(\frac{1 - |a|^2}{|1 - \bar{a}\varphi(\zeta)|^2} \right)^q dm(\zeta) \right)^{\frac{1}{q}}.$$

Proof. Since k_a is a unit vector in H^1 ,

$$(2.4) \quad \|R_N uC_\varphi\| = \|uC_\varphi - K_N uC_\varphi\| \geq \|uC_\varphi k_a\|_q - \|K_N uC_\varphi k_a\|_q.$$

First case: $q > 1$

Since (k_a) converges to zero for the topology of uniform convergence on compact sets in \mathbb{D} as $|a|$ goes to 1, so does $uC_\varphi(k_a)$. The topology of uniform convergence on compact sets in \mathbb{D} and the weak topology agree on H^q , therefore it follows that $uC_\varphi(k_a)$ goes to zero for the weak topology in H^q as $|a|$ goes to 1. Since K_N is a compact operator, it is completely continuous and carries weak-null sequences to norm-null sequences. So $\|K_N(uC_\varphi(k_a))\|_q \rightarrow 0$ when $|a| \rightarrow 1$, and

$$\|R_N uC_\varphi\| \geq \limsup_{|a| \rightarrow 1^-} \|uC_\varphi(k_a)\|_q.$$

Taking the upper limit as $N \rightarrow \infty$, we obtain the result using Lemma 2.9.

For the second case we will need the following computational lemma:

Lemma 2.11. *Let φ be an analytic self-map of \mathbb{D} . Take $a \in \mathbb{D}$ and $N \geq 1$ an integer. Denote by $\alpha_p(a)$ the p -th Fourier coefficient of $C_\varphi(k_a/(1 - |a|^2))$, so that for every $z \in \mathbb{D}$ we have*

$$k_a(\varphi(z)) = (1 - |a|^2) \sum_{p=0}^{\infty} \alpha_p(a) z^p.$$

Then there exists a positive constant $M = M(N) > 0$ depending on N such that $|\alpha_p(a)| \leq M$ for every $p \leq N$ and every $a \in \mathbb{D}$.

Proof. Write $\varphi(z) = a_0 + \psi(z)$ with $a_0 = \varphi(0) \in \mathbb{D}$ and $\psi(0) = 0$. If we develop $k_a(z)$ as a Taylor series and replace z by $\varphi(z)$ we obtain:

$$k_a(\varphi(z)) = (1 - |a|^2) \sum_{n=0}^{\infty} (n+1)(\bar{a})^n \varphi(z)^n.$$

Then

$$\begin{aligned} \alpha_p(a) &= \left\langle \sum_{n=0}^{\infty} (n+1)(\bar{a})^n \varphi(z)^n, z^p \right\rangle \\ &= \sum_{n=0}^{\infty} (n+1)(\bar{a})^n \sum_{j=0}^n \binom{n}{j} a_0^{n-j} \langle \psi(z)^j, z^p \rangle. \end{aligned}$$

where $\langle f, g \rangle = \int_{\mathbb{T}} f \bar{g} \, dm$. Note that $\langle \psi(z)^j, z^p \rangle = 0$ if $j > p$ since $\psi(0) = 0$, and consequently

$$\begin{aligned} \alpha_p(a) &= \sum_{n=0}^{\infty} (n+1)(\bar{a})^n \sum_{j=0}^{\min(n,p)} \binom{n}{j} a_0^{n-j} \langle \psi(z)^j, z^p \rangle \\ &= \sum_{j=0}^p \sum_{n=j}^{\infty} (n+1)(\bar{a})^n \binom{n}{j} a_0^{n-j} \langle \psi(z)^j, z^p \rangle \\ &= \sum_{j=0}^p \langle \psi(z)^j, z^p \rangle \sum_{n=j}^{\infty} (n+1)(\bar{a})^n \binom{n}{j} a_0^{n-j}. \end{aligned}$$

In the case where $a_0 \neq 0$ we obtain

$$\begin{aligned} \alpha_p(a) &= \sum_{j=0}^p \langle \psi(z)^j, z^p \rangle a_0^{-j} \sum_{n=j}^{\infty} (n+1) \binom{n}{j} (\bar{a}a_0)^n \\ &= \sum_{j=0}^p \langle \psi(z)^j, z^p \rangle a_0^{-j} \frac{(j+1)(\bar{a}a_0)^j}{(1 - \bar{a}a_0)^{j+2}} \\ &= \sum_{j=0}^p \langle \psi(z)^j, z^p \rangle \frac{(j+1)(\bar{a})^j}{(1 - \bar{a}a_0)^{j+2}} \end{aligned}$$

using the following equalities for $x = \bar{a}a_0 \in \mathbb{D}$:

$$\sum_{n=j}^{\infty} (n+1) \binom{n}{j} x^n = \left(\sum_{n=j}^{\infty} \binom{n}{j} x^{n+1} \right)' = \left(\frac{x^{j+1}}{(1-x)^{j+1}} \right)' = \frac{(j+1)x^j}{(1-x)^{j+2}}$$

Note that the last expression obtained for $\alpha_p(a)$ is also valid for $a_0 = 0$.

Thus, for $0 \leq p \leq N$ we have the following estimates:

$$\begin{aligned}
|\alpha_p(a)| &\leq \sum_{j=0}^p |\langle \psi(z)^j, z^p \rangle| \frac{j+1}{(1-|a_0|)^{j+2}} \\
&\leq \sum_{j=0}^p \|\psi^j\|_\infty \frac{N+1}{(1-|a_0|)^{N+2}} \\
&\leq \frac{(N+1)^2}{(1-|a_0|)^{N+2}} \max_{0 \leq j \leq N} \|\psi^j\|_\infty \\
&\leq M,
\end{aligned}$$

where M is a constant independent from a . \square

Second case: $q = 1$

In this case, it is no longer for the weak topology but for the weak-star topology of H^1 that $uC_\varphi(k_a)$ tends to zero when $|a| \rightarrow 1$. Nevertheless, it is still true that $\|K_N uC_\varphi(k_a)\|_1 \rightarrow 0$ as $|a| \rightarrow 1$. Indeed if $f(z) = \sum_{n \geq 0} \hat{f}(n)z^n \in H^1$, then

$$K_N f(z) = \sum_{n=0}^{N-1} \left(1 - \frac{n}{N}\right) \hat{f}(n) z^n.$$

We have the following development:

$$k_a(\varphi(z)) = (1-|a|^2) \sum_{n=0}^{\infty} \alpha_n(a) z^n.$$

Denote by u_n the n -th Fourier coefficient of u , so that

$$uC_\varphi(k_a)(z) = (1-|a|^2) \sum_{n=0}^{\infty} \left(\sum_{p=0}^n \alpha_p(a) u_{n-p} \right) z^n, \quad \forall z \in \mathbb{D}.$$

It follows that

$$\|K_N uC_\varphi(k_a)\|_1 \leq (1-|a|^2) \sum_{n=0}^{N-1} \left(1 - \frac{n}{N}\right) \left\| \sum_{p=0}^n \alpha_p(a) u_{n-p} \right\| \|z^n\|_1.$$

Now using estimates from Lemma 2.11, one can find a constant $M > 0$ independent from a such that $|\alpha_p(a)| \leq M$ for every $a \in \mathbb{D}$ and $0 \leq p \leq N-1$. Use the fact that $\|z^n\|_1 = 1$ and $|u_p| \leq \|u\|_1$ to deduce that there is a constant $M' > 0$ independent from a such that

$$\|K_N uC_\varphi(k_a)\|_1 \leq M'(1-|a|^2)\|u\|_1$$

for all $a \in \mathbb{D}$. Thus $K_N u C_\varphi(k_a)$ converges to zero in H^1 when $|a| \rightarrow 1$, and take the upper limit in 2.4 when a tends to 1^- to obtain

$$\|R_N u C_\varphi\| \geq \limsup_{|a| \rightarrow 1} \|u C_\varphi(k_a)\|_1, \quad \forall N \geq 0.$$

We conclude with Lemma 2.9 and observe that $\gamma = \sup \|R_N\| \leq 2$ since $\|R_N\| \leq 1 + \|K_N\| \leq 2$. \square

3 $u C_\varphi \in B(H^p, H^\infty)$ for $1 \leq p < \infty$

Let u be a bounded analytic function. Characterizations of boundedness and compactness of $u C_\varphi$ as a linear map between H^p and H^∞ have been studied in [3] for $p \geq 1$. Indeed,

$$u C_\varphi \in B(H^p, H^\infty) \text{ if and only if } \sup_{z \in \mathbb{D}} \frac{|u(z)|^p}{1 - |\varphi(z)|^2} < \infty$$

and

$$u C_\varphi \text{ is compact if and only if } \|\varphi\|_\infty < 1 \text{ or } \lim_{|\varphi(z)| \rightarrow 1} \frac{|u(z)|^p}{1 - |\varphi(z)|^2} = 0.$$

In the case where $\|\varphi\|_\infty = 1$ we let

$$M_\varphi(u) = \limsup_{|\varphi(z)| \rightarrow 1} \frac{|u(z)|}{(1 - |\varphi(z)|^2)^{\frac{1}{p}}}.$$

As regarding Theorem 1.7 in [12], it seems reasonable to think that the essential norm of $u C_\varphi$ is equivalent to the quantity $M_\varphi(u)$. We first have a majorization:

Proposition 3.1. *Let u be an analytic function on \mathbb{D} and φ an analytic self-map of \mathbb{D} . Suppose that $u C_\varphi$ is a bounded operator from H^p to H^∞ , where $1 \leq p < \infty$ and that $\|\varphi\|_\infty = 1$. Then*

$$\|u C_\varphi\|_e \leq 2M_\varphi(u).$$

Proof. Let ε be a real positive number, and pick $r < 1$ satisfying

$$\sup_{|\varphi(z)| \geq r} \frac{|u(z)|}{(1 - |\varphi(z)|^2)^{\frac{1}{p}}} \leq M_\varphi(u) + \varepsilon.$$

We approximate $u C_\varphi$ by $u C_\varphi K_N$ where $K_N : H^p \rightarrow H^p$ is the convolution operator by the Fejér kernel of order N , where N is chosen so that $|R_N f(w)| < \varepsilon \|f\|_1$ for every $f \in H^1$ and every $|w| < r$ (Lemma 2.8 for

$q = 1$). We want to show that $\|uC_\varphi - uC_\varphi K_N\| = \|uC_\varphi R_N\| \leq \max(2M_\varphi(u) + 2\varepsilon, \varepsilon\|u\|_\infty)$, which will prove our assertion. If f is a unit vector in H^p , then the norm of $uC_\varphi R_N(f)$ is equal to

$$\max \left(\sup_{|\varphi(z)| \geq r} |u(z)(R_N f) \circ \varphi(z)|, \sup_{|\varphi(z)| < r} |u(z)(R_N f) \circ \varphi(z)| \right).$$

We want to estimate the first term. If $\omega \in \mathbb{D}$, we denote by δ_ω the linear functional on H^p defined by $\delta_\omega(f) = f(\omega)$. Then $\delta_\omega \in (H^p)^*$ and $\|\delta_\omega\|_{(H^p)^*} = 1/(1 - |\omega|^2)^{1/p}$ for every $\omega \in \mathbb{D}$. Therefore

$$\begin{aligned} \sup_{|\varphi(z)| \geq r} |u(z)(R_N f) \circ \varphi(z)| &\leq \sup_{|\varphi(z)| \geq r} |u(z)| \|\delta_{\varphi(z)}\|_{(H^p)^*} \|R_N f\|_p \\ &\leq 2 \sup_{|\varphi(z)| \geq r} \frac{|u(z)|}{(1 - |\varphi(z)|^2)^{\frac{1}{p}}} \\ &\leq 2(M_\varphi(u) + \varepsilon), \end{aligned}$$

using the fact that $\|R_N f\|_p \leq 2$.

For the second term, since $|\varphi(z)| < r$ we have

$$|u(z)R_N f(\varphi(z))| \leq \|u\|_\infty |R_N f(\varphi(z))| \leq \varepsilon\|u\|_\infty \|f\|_1 \leq \varepsilon\|u\|_\infty$$

which ends the proof. \square

On the other hand, we have the lower estimate:

Proposition 3.2. *Let u be an analytic function on \mathbb{D} and φ an analytic self-map of \mathbb{D} satisfying $\|\varphi\|_\infty = 1$. Suppose that uC_φ is a bounded operator from H^p to H^∞ , where $1 \leq p < \infty$. Then*

$$\frac{1}{2}M_\varphi(u) \leq \|uC_\varphi\|_e.$$

Proof. Assume that uC_φ is not compact, implying $M_\varphi(u) > 0$. Let (z_n) be a sequence in \mathbb{D} satisfying

$$\lim_n |\varphi(z_n)| = 1 \quad \text{and} \quad \lim_n \frac{|u(z_n)|}{(1 - |\varphi(z_n)|^2)^{\frac{1}{p}}} = M_\varphi(u).$$

Consider the sequence (f_n) defined by

$$f_n(z) = k_{\varphi(z_n)}(z)^{1/p} = \frac{(1 - |\varphi(z_n)|^2)^{\frac{1}{p}}}{\left(1 - \overline{\varphi(z_n)}z\right)^{\frac{2}{p}}}.$$

Each f_n is a unit vector of H^p . Let $K : H^p \rightarrow H^\infty$ be a compact operator.

First case: $p > 1$

Since the sequence (f_n) converges to zero for the weak topology of H^p and K is completely continuous, the sequence (Kf_n) converges to zero for the norm topology in H^∞ . Use that $\|uC_\varphi + K\| \geq \|uC_\varphi(f_n)\|_\infty - \|Kf_n\|_\infty$ and take the upper limit when n tends to infinity to obtain

$$\begin{aligned} \|uC_\varphi + K\| &\geq \limsup_n \|uC_\varphi(f_n)\|_\infty \\ &\geq \limsup_n |u(z_n)| |f_n(\varphi(z_n))| \\ &\geq \limsup_n \frac{|u(z_n)|}{(1 - |\varphi(z_n)|^2)^{\frac{1}{p}}} \\ &\geq M_\varphi(u). \end{aligned}$$

Second case: $p = 1$

Let $\varepsilon > 0$. Since the sequence (f_n) is no longer weakly convergent to zero in H^1 , we cannot assert that $(Kf_n)_n$ goes to zero in H^∞ . Nevertheless, passing to subsequences, one can assume that $(Kf_{n_k})_k$ converges in H^∞ , and hence is a Cauchy sequence. So we can find an integer $N > 0$ such that for every k and m greater than N we have $\|Kf_{n_k} - Kf_{n_m}\| < \varepsilon$. We deduce that

$$\begin{aligned} \|uC_\varphi + K\| &\geq \left\| (uC_\varphi + K) \left(\frac{f_{n_k} - f_{n_m}}{2} \right) \right\|_\infty \\ &\geq \frac{1}{2} \|uC_\varphi(f_{n_k} - f_{n_m})\|_\infty - \frac{\varepsilon}{2} \\ &\geq \frac{1}{2} |u(z_{n_k})| |f_{n_k}(\varphi(z_{n_k})) - f_{n_m}(\varphi(z_{n_k}))| - \frac{\varepsilon}{2} \\ &\geq \frac{|u(z_{n_k})|}{2(1 - |\varphi(z_{n_k})|^2)} - \frac{|u(z_{n_k})| (1 - |\varphi(z_{n_m})|^2)}{2 \left| 1 - \overline{\varphi(z_{n_m})} \varphi(z_{n_k}) \right|^2} - \frac{\varepsilon}{2} \end{aligned}$$

Now take the upper limit as m goes to infinity (k being fixed) and recall that $\lim_m |\varphi(z_{n_m})| = 1$ and $|\varphi(z_{n_k})| < 1$ to obtain

$$\|uC_\varphi + K\| \geq \frac{|u(z_{n_k})|}{2(1 - |\varphi(z_{n_k})|^2)} - \frac{\varepsilon}{2}$$

for every $k \geq N$. It remains to make k tend to infinity to have

$$\|uC_\varphi + K\| \geq \frac{1}{2} M_\varphi(u) - \frac{\varepsilon}{2}.$$

□

Combining Proposition 3.1 and Proposition 3.2 we obtain the following estimate:

Theorem 3.3. *Let u be an analytic function on \mathbb{D} and φ an analytic self-map of \mathbb{D} satisfying $\|\varphi\|_\infty = 1$. Suppose that uC_φ is a bounded operator from H^p to H^∞ , where $1 \leq p < \infty$. Then $\|uC_\varphi\|_e \approx M_\varphi(u)$. More precisely, we have the following inequalities:*

$$\frac{1}{2}M_\varphi(u) \leq \|uC_\varphi\|_e \leq 2M_\varphi(u).$$

Note that if $p > 1$ one can replace the constant $1/2$ by 1 .

4 $uC_\varphi \in B(H^\infty, H^q)$ for $\infty > q \geq 1$

In this setting, boundedness of the weighted composition operator uC_φ is equivalent to saying that u belongs to H^q , and uC_φ is compact if and only if $u = 0$ or $|E_\varphi| = 0$ where $E_\varphi = \{\zeta \in \mathbb{T} \mid \varphi^*(\zeta) \in \mathbb{T}\}$ is the extremal set of φ (see [3]). We give here some estimates of the essential norm of uC_φ that appear in [9] for the special case of composition operators:

Theorem 4.1. *Let $u \in H^q$, with $\infty > q \geq 1$ and φ be an analytic self-map of \mathbb{D} . Then $\|uC_\varphi\|_e \approx \left(\int_{E_\varphi} |u(\zeta)|^q dm(\zeta)\right)^{\frac{1}{q}}$. More precisely,*

$$\frac{1}{2} \left(\int_{E_\varphi} |u(\zeta)|^q dm(\zeta) \right)^{\frac{1}{q}} \leq \|uC_\varphi\|_e \leq 2 \left(\int_{E_\varphi} |u(\zeta)|^q dm(\zeta) \right)^{\frac{1}{q}}.$$

We start with the upper estimate:

Proposition 4.2. *Let $u \in H^q$, with $\infty > q \geq 1$ and φ be an analytic self-map of \mathbb{D} . Then*

$$\|uC_\varphi\|_e \leq 2 \left(\int_{E_\varphi} |u(\zeta)|^q dm(\zeta) \right)^{\frac{1}{q}}.$$

Proof. Take $0 < r < 1$. Since $\|r\varphi\|_\infty \leq r < 1$, the set $E_{r\varphi}$ is empty and therefore the operator $uC_{r\varphi}$ is compact. Thus $\|uC_\varphi\|_e \leq \|uC_\varphi - uC_{r\varphi}\|$. But

$$(4.1) \quad \|uC_\varphi - uC_{r\varphi}\|^q = \sup_{\|f\|_\infty \leq 1} \int_{\mathbb{T}} |u(\zeta)|^q |f(\varphi(\zeta)) - f(r\varphi(\zeta))|^q dm(\zeta).$$

If $|E_\varphi| = 1$ then the integral in (4.1) coincides with

$$\int_{E_\varphi} |u(\zeta)|^q |f(\varphi(\zeta)) - f(r\varphi(\zeta))|^q dm(\zeta)$$

which is less than $2^q \int_{E_\varphi} |u(\zeta)|^q dm(\zeta)$. If $|E_\varphi| < 1$ we let $F_\varepsilon = \{\zeta \in \mathbb{T} \mid |\varphi^*(\zeta)| < 1 - \varepsilon\}$ for $\varepsilon > 0$, which is a nonempty set for ε sufficiently small.

(Let us mention here that an element $\zeta \in \mathbb{T}$ needs not to satisfy neither $\zeta \in E_\varphi$ nor $\zeta \in \bigcup_{\varepsilon>0} F_\varepsilon$. It can happen that the radial limit $\varphi^*(\zeta)$ does not exist, but this happens only for ζ belonging to a set of measure zero). We will use the pseudohyperbolic distance ρ defined for z and w in the unit disk by $\rho(z, w) = |z - w|/|1 - \bar{w}z|$. The Pick-Schwarz's theorem ensures that $\rho(f(z), f(w)) \leq \rho(z, w)$ for every function $f \in B_{H^\infty}$. As a consequence the inequality $|f(z) - f(w)| \leq 2\rho(z, w)$ holds for every w and z in \mathbb{D} . If ζ is an element of F_ε then

$$\rho(\varphi(\zeta), r\varphi(\zeta)) = \frac{(1-r)|\varphi(\zeta)|}{1-r|\varphi(\zeta)|^2} \leq \frac{1-r}{1-r(1-\varepsilon)^2}.$$

One can choose $0 < r < 1$ satisfying $\sup_{F_\varepsilon} \rho(\varphi(\zeta), r\varphi(\zeta)) < \varepsilon/2$, and therefore

$$|f(\varphi(\zeta)) - f(r\varphi(\zeta))| \leq 2 \sup_{F_\varepsilon} \rho(\varphi(\zeta), r\varphi(\zeta)) \leq \varepsilon$$

for all $\zeta \in F_\varepsilon$ and for every function f in the closed unit ball of H^∞ . It follows from these estimates and (4.1) that

$$\begin{aligned} \|uC_\varphi - uC_{r\varphi}\|^q &\leq \sup_{\|f\|_\infty \leq 1} \left(\int_{F_\varepsilon} |u(\zeta)|^q \varepsilon^q \, dm(\zeta) + \int_{\mathbb{T} \setminus F_\varepsilon} 2^q |u(\zeta)|^q \, dm(\zeta) \right) \\ &\leq \varepsilon^q \|u\|_q^q + 2^q \int_{\mathbb{T} \setminus F_\varepsilon} |u(\zeta)|^q \, dm(\zeta). \end{aligned}$$

Make ε tend to zero to deduce the upper estimate. \square

Let us turn to the lower estimate:

Proposition 4.3. *Suppose that φ is an analytic self-map of \mathbb{D} and $u \in H^q$ with $\infty > q \geq 1$. Then*

$$\|uC_\varphi\|_e \geq \frac{1}{2} \left(\int_{E_\varphi} |u(\zeta)|^q \, dm(\zeta) \right)^{\frac{1}{q}}.$$

Proof. Take a compact operator $K \in B(H^\infty, H^q)$. Since the sequence $(z^n)_{n \in \mathbb{N}}$ is bounded in H^∞ , there exists an increasing sequence of integers $(n_k)_{k \geq 0}$ such that $(K(z^{n_k}))_{k \geq 0}$ converges in H^q . For any $\varepsilon > 0$ one can find $N \in \mathbb{N}$ such that for every $k, m \geq N$ we have $\|Kz^{n_k} - Kz^{n_m}\|_q < \varepsilon$. If $0 < r < 1$, we let $g_r(z) = g(rz)$ for a function g defined on \mathbb{D} . Take $k \geq N$. Then there exists $0 < r < 1$ such that

$$\|(u\varphi^{n_k})_r\|_q \geq \|u\varphi^{n_k}\|_q - \varepsilon.$$

For all $m \geq N$ we have

$$\begin{aligned}
\|uC_\varphi + K\| &\geq \left\| (uC_\varphi + K) \left(\frac{z^{n_k} - z^{n_m}}{2} \right) \right\|_q \\
&\geq \frac{1}{2} \|u(\varphi^{n_k} - \varphi^{n_m})\|_q - \frac{\varepsilon}{2} \\
&\geq \frac{1}{2} \|(u\varphi^{n_k})_r - (u\varphi^{n_m})_r\|_q - \frac{\varepsilon}{2} \\
&\geq \frac{1}{2} \left(\|(u\varphi^{n_k})_r\|_q - \|(u\varphi^{n_m})_r\|_q \right) - \frac{\varepsilon}{2} \\
&\geq \frac{1}{2} \left(\|u\varphi^{n_k}\|_q - \|(u\varphi^{n_m})_r\|_q \right) - \varepsilon.
\end{aligned}$$

Let us make m tend to infinity, keeping in mind that $0 < r < 1$ and $\|\varphi_r\|_\infty < 1$:

$$\|(u\varphi^{n_m})_r\|_q \leq \|u\|_q \|\varphi_r\|_\infty^{n_m} \xrightarrow{m} 0.$$

Thus $\|uC_\varphi + K\| \geq (1/2)\|u\varphi^{n_k}\|_q - \varepsilon$ for all $k \geq N$. We conclude noticing that

$$\|u\varphi^{n_k}\|_q = \left(\int_{\mathbb{T}} |u(\zeta)\varphi(\zeta)^{n_k}|^q \, dm(\zeta) \right)^{\frac{1}{q}} \xrightarrow{k} \left(\int_{E_\varphi} |u(\zeta)|^q \, dm(\zeta) \right)^{\frac{1}{q}}.$$

□

5 $uC_\varphi \in B(H^p, H^q)$ for $\infty > p > q \geq 1$

In [9], the authors give an estimate of the essential norm of a composition operator between H^p and H^q for $1 < q < p < \infty$. The proof makes use of the Riesz projection from L^q onto H^q , which is a bounded operator for $1 < q < \infty$. Since it is not bounded from L^1 to H^1 (H^1 is not even complemented in L^1) there is no way to use a similar argument. So we need a different approach to get some estimates for $q = 1$. A solution is to make use of Carleson measures. First, we give a characterization of the boundedness of uC_φ in terms of a Carleson measure. In the case where $p > q$, Carleson measures on $\overline{\mathbb{D}}$ are characterized in [1]. Denote by $\Gamma(\zeta)$ the Stolz domain generated by $\zeta \in \mathbb{T}$, *i.e.* the interior of the convex hull of the set $\{\zeta\} \cup (\alpha\mathbb{D})$, where $0 < \alpha < 1$ is arbitrary but fixed.

Theorem 5.1 (see [1, Theorem 2.2]). *Let μ be a measure on $\overline{\mathbb{D}}$, $1 \leq q < p < \infty$ and $s = p/(p - q)$. Then μ is a (p, q) -Carleson measure on $\overline{\mathbb{D}}$*

if and only if $\zeta \mapsto \int_{\Gamma(\zeta)} \frac{d\mu(z)}{1-|z|^2}$ belongs to $L^s(\mathbb{T})$ and $\mu_{\mathbb{T}} = Fdm$ for a function $F \in L^s(\mathbb{T})$.

This leads to a characterization of the continuity of a weighted composition operator between H^p and H^q :

Corollary 5.2. *Let u be an analytic function on \mathbb{D} and φ an analytic self-map of \mathbb{D} . For $1 \leq q < p < \infty$, the weighted composition operator $uC_\varphi : H^p \rightarrow H^q$ is bounded if and only if $G : \zeta \in \mathbb{T} \mapsto G(\zeta) = \int_{\Gamma(\zeta)} \frac{d\mu_\varphi(z)}{1-|z|^2}$ belongs to $L^s(\mathbb{T})$ for $s = p/(p-q)$ and $\mu_{\varphi|_{\mathbb{T}}} = Fdm$ for a certain $F \in L^s(\mathbb{T})$, where $d\mu = |u|^q dm$ and $\mu_\varphi = \mu \circ \varphi^{-1}$ is the pullback measure of μ by φ .*

Proof. uC_φ is a bounded operator if and only if there exists $\gamma > 0$ such that for any $f \in H^p$, $\int_{\mathbb{T}} |u(\zeta)|^q |f \circ \varphi(\zeta)|^q dm(\zeta) \leq \gamma \|f\|_p^q$, which is equivalent (via a change of variables) to $\int_{\mathbb{D}} |f(z)|^q d\mu_\varphi(z) \leq \gamma \|f\|_p^q$ for every $f \in H^p$. This exactly means that μ_φ is a (p, q) -Carleson measure. This is equivalent by Theorem 5.1 to the condition announced. \square

If $f \in H^p$, the Hardy-Littlewood maximal nontangential function Mf is defined by $Mf(\zeta) = \sup_{z \in \Gamma(\zeta)} |f(z)|$ for $\zeta \in \mathbb{T}$. For $1 < p < \infty$, M is a bounded operator from H^p to L^p and we will denote its norm by $\|M\|_p$. The following lemma is the analogue version of Lemma 2.6 for the case $p > q$.

Lemma 5.3. *Let μ be a positive Borel measure on $\overline{\mathbb{D}}$. Assume that μ is a (p, q) -Carleson measure for $1 \leq q < p < \infty$. Let $0 < r < 1$ and $\mu_r := \mu|_{\mathbb{D}_r}$. Then μ_r is a (p, q) -Carleson measure, and there exists a positive constant γ such that for every $f \in H^p$,*

$$\int_{\mathbb{D}} |f(z)|^q d\mu_r(z) \leq (\|F\|_s + \gamma \|M\|_p^q \|\widetilde{G}_r\|_s) \|f\|_p^q$$

where $d\mu_{\mathbb{T}} = Fdm$ and $\widetilde{G}_r(\zeta) = \int_{\Gamma(\zeta)} \frac{d\mu_r(z)}{1-|z|^2}$. In addition, $\|\widetilde{G}_r\|_s \rightarrow 0$ as $r \rightarrow 1$.

We use the notation \widetilde{G}_r to avoid any confusion with the notation introduced before for φ and its radial function φ_r .

Proof. Being a (p, q) -Carleson measure only depends on the ratio p/q (see [1, Lemma 2.1]), so we have to show that μ_r is a $(p/q, 1)$ -Carleson measure. From the definition it is clear that $\widetilde{G}_r \leq G \in L^s(\mathbb{T})$. Moreover $d\mu_{r|_{\mathbb{T}}} =$

$d\mu_{\mathbb{T}} = F dm \in L^s(\mathbb{T})$. Corollary 5.2 ensures the fact that μ_r is a (p, q) -Carleson measure.

Let f be in H^p . Then

$$\begin{aligned} \int_{\mathbb{T}} |f(\zeta)|^q d\mu_r(\zeta) &= \int_{\mathbb{T}} |f(\zeta)|^q d\mu(\zeta) = \int_{\mathbb{T}} |f(\zeta)|^q F(\zeta) dm(\zeta) \\ &\leq \left(\int_{\mathbb{T}} |f(\zeta)|^p dm(\zeta) \right)^{\frac{q}{p}} \|F\|_s \\ (5.1) \quad &\leq \|f\|_p^q \|F\|_s \end{aligned}$$

using Hölder's inequality with conjugate exponents p/q and s .

For $z \neq 0$, $z \in \mathbb{D}$, let $\tilde{I}(z) = \{\zeta \in \mathbb{T} \mid z \in \Gamma(\zeta)\}$. In other words $\zeta \in \tilde{I}(z) \Leftrightarrow z \in \Gamma(\zeta)$. Then

$$(5.2) \quad m(\tilde{I}(z)) \approx 1 - |z|$$

and

$$\begin{aligned} \int_{\mathbb{D}} |f(z)|^q d\mu_r(z) &\approx \int_{\mathbb{D}} |f(z)|^q \left(\int_{\tilde{I}(z)} dm(\zeta) \right) \frac{d\mu_r(z)}{1 - |z|^2} \\ &= \int_{\mathbb{T}} \int_{\Gamma(\zeta)} |f(z)|^q \frac{d\mu_r(z)}{1 - |z|^2} dm(\zeta) \\ &\leq \int_{\mathbb{T}} Mf(\zeta)^q \int_{\Gamma(\zeta)} \frac{d\mu_r(z)}{1 - |z|^2} dm(\zeta) \end{aligned}$$

where $Mf(\zeta) = \sup_{z \in \Gamma(\zeta)} |f(z)|$ is the Hardy-Littlewood maximal nontangential function. We apply Hölder's inequality to obtain

$$(5.3) \quad \int_{\mathbb{D}} |f(z)|^q d\mu_r(z) \leq \gamma \|Mf\|_p^q \|\widetilde{G_r}\|_s \leq \gamma \|M\|_p^q \|\widetilde{G_r}\|_s \|f\|_p^q,$$

where γ is a positive constant that appears in (5.2). Combining (5.1) and (5.3) it follows that

$$\int_{\mathbb{D}} |f(z)|^q d\mu_r(z) \leq (\|F\|_s + \gamma \|M\|_p^q \|\widetilde{G_r}\|_s) \|f\|_p^q.$$

It remains to show that $\|\widetilde{G_r}\|_s \rightarrow 0$ when $r \rightarrow 1$. We will make use of Lebesgue's dominated convergence theorem. Clearly we have $0 \leq \widetilde{G_r} \leq G \in L^s(\mathbb{T})$, so we need to show that $\widetilde{G_r}(\zeta) \rightarrow 0$ as $r \rightarrow 1$ for m -almost every $\zeta \in \mathbb{T}$. Let $A = \{\zeta \in \mathbb{T} \mid G(\zeta) < \infty\}$. It is a set of full measure ($m(A) = 1$) since $G \in L^s(\mathbb{T})$. Write $\widetilde{G_r}(\zeta) = \int_{\Gamma(\zeta)} \tilde{f}_r(z) d\mu(z)$ with $\tilde{f}_r(z) = \mathbb{I}_{\mathbb{D} \setminus r\mathbb{D}}(z)(1 - |z|^2)^{-1}$, $z \in \Gamma(\zeta)$. For every $\zeta \in A$ one has

$$\begin{aligned} |\tilde{f}_r(z)| &\leq \frac{1}{1 - |z|^2} \in L^1(\Gamma(\zeta), \mu) \text{ since } \zeta \in A, \\ \tilde{f}_r(z) &\xrightarrow{r \rightarrow 1} 0 \text{ for all } z \in \Gamma(\zeta) \subset \mathbb{D}. \end{aligned}$$

Lebesgue's dominated convergence theorem in $L^1(\Gamma(\zeta), \mu)$ ensures that $\widetilde{G}_r(\zeta) = \|\tilde{f}_r\|_{L^1(\Gamma(\zeta), \mu)}$ tends to zero as r tends to 1 for m -almost every $\zeta \in \mathbb{T}$, which ends the proof. \square

Theorem 5.4. *Let u be an analytic function on \mathbb{D} and φ an analytic self-map of \mathbb{D} . Assume that uC_φ is a bounded operator from H^p to H^q , with $\infty > p > q \geq 1$. Then*

$$\|uC_\varphi\|_e \leq 2\|C_\varphi\|_{p/q}^{1/q} \left(\int_{E_\varphi} |u(\zeta)|^{\frac{pq}{p-q}} dm(\zeta) \right)^{\frac{p-q}{pq}},$$

where $\|C_\varphi\|_{p/q}$ denotes the norm of C_φ acting on $H^{p/q}$.

Proof. We follow the same lines as in the proof of the upper estimate in Proposition 2.4: we have the decomposition $I = K_N + R_N$ in $B(H^p)$, where K_N is the convolution operator by the Fejér kernel, and

$$\|uC_\varphi\|_e \leq \liminf_N \|uC_\varphi R_N\|.$$

We also have, for every $0 < r < 1$,

$$\begin{aligned} \|(uC_\varphi R_N)f\|_q^q &= \int_{\mathbb{D} \setminus r\mathbb{D}} |R_N f(w)|^q d\mu_\varphi(w) + \int_{r\mathbb{D}} |R_N f(w)|^q d\mu_\varphi(w) \\ &= I_1(N, r, f) + I_2(N, r, f). \end{aligned}$$

As in the $p \leq q$ case, we show that

$$\lim_N \sup_{\|f\|_p \leq 1} I_2(N, r, f) = 0.$$

The measure μ_φ being a (p, q) -Carleson measure, we use Lemma 5.3 to have the following inequality

$$I_1(N, r, f) \leq (\|F\|_s + \gamma\|M\|_p^q \|\widetilde{G}_r\|_s) \|R_N f\|_p^q$$

for every $f \in H^p$. As a consequence

$$\|uC_\varphi\|_e \leq \liminf_N \left(\sup_{\|f\|_p \leq 1} I_1(N, r, f) \right)^{\frac{1}{q}} \leq 2(\|F\|_s + \gamma\|M\|_p^q \|\widetilde{G}_r\|_s)^{\frac{1}{q}}$$

using the fact that $\sup_N \|R_N\| \leq 2$. Now we make r tend to 1, keeping in mind that $\|\widetilde{G}_r\|_s \rightarrow 0$. We obtain

$$\|uC_\varphi\|_e \leq 2\|F\|_s^{1/q}.$$

It remains to see that we can choose F in such a way that

$$\|F\|_s \leq \|C_\varphi\|_{p/q} \left(\int_{E_\varphi} |u(\zeta)|^{\frac{pq}{p-q}} dm(\zeta) \right)^{1/s}.$$

Indeed, if $f \in C(\mathbb{T}) \cap H^{p/q}$, we apply Hölder's inequality with conjugates exponents p/q and s to have

$$\begin{aligned} \left| \int_{\mathbb{T}} f d\mu_{\varphi, \mathbb{T}} \right| &= \left| \int_{E_\varphi} |u|^q f \circ \varphi dm \right| \\ &\leq \int_{E_\varphi} |u|^q |f \circ \varphi| dm \\ &\leq \|C_\varphi(f)\|_{p/q} \left(\int_{E_\varphi} |u|^{sq} dm \right)^{1/s}, \end{aligned}$$

meaning that $\mu_{\varphi, \mathbb{T}} \in (H^{p/q})^*$, which is isometrically isomorphic to $L^s(\mathbb{T})/H_0^s$, where H_0^s is the subspace of H^s consisting of functions vanishing at zero. If we denote by $N(\mu_{\varphi, \mathbb{T}})$ the norm of $\mu_{\varphi, \mathbb{T}}$ viewed as an element of $(H^{p/q})^*$, then one can choose $F \in L^s(\mathbb{T})$ satisfying

$$\|F\|_s = N(\mu_{\varphi, \mathbb{T}}) \leq \|C_\varphi\|_{p/q} \left(\int_{E_\varphi} |u|^{pq/(p-q)} dm \right)^{1/s}$$

and $\mu_{\varphi, \mathbb{T}} = F dm$ (see for instance [11], p. 194). Finally we have

$$\|uC_\varphi\|_e \leq 2\|C_\varphi\|_{p/q}^{1/q} \left(\int_{E_\varphi} |u(\zeta)|^{\frac{pq}{p-q}} dm(\zeta) \right)^{\frac{p-q}{pq}}.$$

□

Although we have not been able to give a corresponding lower bound of this form for the essential norm of uC_φ , we have the following result:

Proposition 5.5. *Let $1 \leq q < p < \infty$, and assume that $uC_\varphi \in B(H^p, H^q)$. Then*

$$\|uC_\varphi\|_e \geq \left(\int_{E_\varphi} |u(\zeta)|^q dm(\zeta) \right)^{\frac{1}{q}}.$$

Proof. Take a compact operator K from H^p to H^q . Since it is completely continuous, and the sequence (z^n) converges weakly to zero in H^p , $(K(z^n))_n$ converges to zero in H^q . Hence

$$\|uC_\varphi + K\| \geq \|(uC_\varphi + K)z^n\|_q \geq \|uC_\varphi(z^n)\|_q - \|K(z^n)\|_q$$

for every $n \geq 0$. Taking the limit as n tends to infinity, we have

$$\|uC_\varphi\|_e \geq \left(\int_{E_\varphi} |u(\zeta)|^q \, dm(\zeta) \right)^{\frac{1}{q}}.$$

□

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